

01-04-2002
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Address to:	Attorney Docket No.	ARC920010097US1
Assistant Commissioner for Patents	Inventor(s)	SARKAR, Prasenjit et al.
Box Patent Application	Express Mail Label No.	EV 018932798 US
Washington, DC 20231	Total Pages	39
	Assignee Name	International Business Machines Corporation
	Assignee Residence	Armonk, New York

Title of Application: "SYSTEM AND METHOD FOR IMPROVING BACKUP PERFORMANCE OF MEDIA AND DYNAMIC READY TO TRANSFER CONTROL MECHANISM"

Transmitted with the patent application are the following:

- 1 Page(s) Transmittal form
- 24 Page(s) Specification, claims, abstract
- 10 Page(s) informal Drawings
- 2 Page(s) Non-executed Declaration and Power of Attorney
- Page(s) Recordation of Assignment
- Page(s) Assignment of the Invention to International Business Machines Corporation
- Page(s) Form 1449A and Information Disclosure Statement
- References cited in Form 1449A
- 1 Page(s) Return Receipt Postcard (MPEP 503)
- 1 Page(s) Check No. 1157 to cover the filing fee
- Page(s) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

This application is a: Continuation Divisional Continuation-in-Part of prior application Serial No. _____

Fee Calculation

	Claims Filed		Extra	Rate	Fees
Basic Fee					\$740.00
Total Claims	26	-20 =	5	\$18.00	\$108.00
Independent Claims	2	- 3 =	0	\$84.00	\$0.00
Multiple Dependent				\$280.00	\$0.00
				Assignm	\$40.00
				TOTAL	\$888

The PTO did not receive the following item(s) Recordation Sheet

The Commissioner is hereby authorized to credit overpayments or charge fees required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-0219. Duplicate sheet attached.

EXPRESS MAIL CERTIFICATE

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, U.S. Patents and Trademark Office, Box: Patent Application, P.O.Box 2327, Arlington, VA 22201.
Date of Deposit: 12/29/2001
Person mailing paper/fee: Samuel A. Kassatly

Signature _____

Respectfully submitted,
SARKAR, Prasenjit et al.

Samuel A. Kassatly
Reg. No. 32,247
Attorney for Applicants
Telephone: 408-323-5411
Samuel A. Kassatly Law Office
6819 Trinidad Drive
San Jose, CA 95120-2056

The PTO did not receive the following listed item(s) Assignment
Recordation Sheet